

PTO-1390 (Rev. 12-2004)
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| | 1 | RANSMITTAL LETTER TO THE | ATTORNEY 'S DOCKET NUMBER | | | | | | | | | | | |
|-------------------------------|---|---|---|--|--|--|--|--|--|--|--|--|--|--|
| | | DESIGNATED/ELECTED OFF | 59652-5001 | | | | | | | | | | | |
| | | CONCERNING A FILING UND | U.S. APPLICATION NO (II/ngn, 3=27 CFR, 35) | | | | | | | | | | | |
| 1 | _ | TIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | | | |
| | | A03/01118 | 25/07/2002 | | | | | | | | | | | |
| ł | TITLE OF INVENTION METAL-SUPPORTED TUBULAR FUEL CELL | | | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | | | | |
| Alberta Research Council Inc. | | | | | | | | | | | | | | |
| App | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT sub | bmission of items concerning a sub | mission under 35 U.S.C. 371. | | | | | | | | | | |
| 3. | \boxtimes | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | | |
| 4. | | The US has been elected (Article 31). | | | | | | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | | |
| | | b. 🛮 has been communicated by the International Bureau (copy of notice enclosed). | | | | | | | | | | | | |
| | | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | | |
| | | a. is attached hereto. | | | | | | | | | | | | |
| | | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | | | |
| 7. | | Amendments to the claims of the Internat | ional Application under PCT Article | 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | | |
| | | a. are attached hereto (required o | only if not communicated by the Inte | rnational Bureau). | | | | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | | | |
| | | d. have not been made and will not be made. | | | | | | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) | | | | | | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | | | |
| | ltem | s 11 to 20 below concern document(s) o | r information included: | | | | | | | | | | | |
| 11. | \boxtimes | An Information Disclosure Statement under | er 37 CFR 1.97 and 1.98. | | | | | | | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | | | | |
| 13. | | A preliminary amendment. | | | | | | | | | | | | |
| 14. | \boxtimes | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | | | | | | |
| 17. | | A computer-readable form of the sequence | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | | | | |
| 18. | | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | | |
| 19. | | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | | |
| 20. | \boxtimes | | er Article 31 of the Patent Cooperat | blication No. WO 2004/12287 A2 (first two pages only); ion Treaty; Written Opinion; Reply to Written Opinion; | | | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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| U.S. APPLICAT To be assigned | U.S. APPLICATION NO. (FKnown) e6 27 3 7 5 1 INTERNATIONAL APPLICATION NO. PCT/CA03/01118 | | | | | | | | ATTORNEY'S DOCKET NUMBER 59652-5001 | | | |
|--|---|---|------------------|--|------------------|---------------------|---------------|-----------------------|---------------------------------------|--|--|--|
| 21. The following fe | es are | submitted: | | | | _ - | | | | | | |
| a) Basic natio | nal fe | B | | | \$300. | 00 | \$ | 300 | | | | |
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| listing or complesheets of paper | uter pr | cification and drawings ogram listing filed in ar action thereof. | | | | | | | | | | |
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| Surcharge of \$130.00 priority date (37 CFR 1 | | ishing the oath or declar | \$ | <u></u> | | | | | | | | |
| CLAIMS | | NUMBER FILED NUMBER EXTRA | | | RATE | | \$ | | | | | |
| Total claims | | 36 - | 20 = 16 | | × \$50.00 | | \$ | 800.00 | | | | |
| Independent claims | | 3 - | 3 = | 0 | X \$200.00 | | | | | | | |
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| | s smal | entity status. See 37 Cl | \$ | | | | | | | | | |
| by 1/2. | | · · · · · · | | | SL | JBTOTAL = | \$ 1,800.00 | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest | | | | | | | | | | | | |
| claimed priority date (3 | 7 CFR | 1.492(1)). | | TOTAL | NATIO | + NAL FEE = | \$ | | | | | |
| | | | |). The assignment must be acco | | _ | \$ | | | | | |
| by an appropriate cov | er she | et (37 CFR 3.28, 3.31). | \$40.00 p | ···· | EES EN | CLOSED = | \$ 1,800.00 | | | | | |
| | | | Amount to be | | | | | | | | | |
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| | | | | | | | | ount to be orged: | \$ 1,800.00 | | | |
| a. A check in the amount of \$ to cover the above fees is enclosed. b. Please charge my Deposit Account No. 10-0440 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | | |
| | _ | ner is hereby authorized duplicate copy of this sh | - | e any additional fees which may b nclosed. | e require | d, or credit any ov | /erpa | yment to Deposit Acco | ount No. | | | |
| | | | | | | | | | | | | |
| | | riate time limit under ne International Appli | | R 1.495 has not been met, a p to pending status. | etition | to revive (37 C | FR 1. | .137(a) or (b)) must | be filed | | | |
| SEND ALL CORRI | ESPO | NDENCE TO: | | | P | k m. | 1 | 1 – | | | | |
| Rod S. Berman, | Esc | ı. | | | N | c W. | 1, | } | | | | |
| | | er & Marmaro Ll | URE | | | | | | | | | |
| | | Stars, Seventh F | | | Steven R. Hansen | | | | | | | |
| Los Angeles, CA | | | i IX. i ialiseli | | | | | | | | | |
| Telephone: (31 | | | | | | | | | | | | |
| Facsimile: (310 | | | | | 39,214 | RATION NUM | 000 | | | | | |
| E-Mail: patentd | ocke | wjinom.com | | | ,L0101 | TOTAL HOM | JUR | | | | | |

FORM PTO-1390 (REV. 10-2004)

Page 2 of 2

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on <u>January 25, 2005</u>.

Date

Submitted Documents:

- Transmittal Letter to the US Elected Office;
- Application Data Sheet;
- Application with Drawings;
- Unsigned Declaration
- Copy of Request (PCT/RO/101);
- International Publication No. WO 2004/12287 A2 (first two pages only);
- International Search Report;
- Demand under Article 31 of the Patent Cooperation Treaty;
- Written Opinion;
- Reply to Written Opinion;
- International Preliminary Examination Report;

Information Disclosure Statement with 70 References (15 enclosed, 55 not enclosed)

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